

Estimating the Radiative Efficiency of Magnetized Accretion Disks Around Black Holes

Kris Beckwith and John F. Hawley

*Astronomy Department
University of Virginia
P.O. Box 400325
Charlottesville, VA 22904-4325*

krb3u@virginia.edu; jh8h@virginia.edu

and

Julian H. Krolik

*Department of Physics and Astronomy
Johns Hopkins University
Baltimore, MD 21218*

jhk@pha.jhu.edu

ABSTRACT

Simulations of black hole accretion have shown that magnetic stresses are present near and inside the innermost stable circular orbit (ISCO). This finding suggests that such flows may be more luminous than predicted by the standard accretion disk model. Here we apply a prescription for heat dissipation within the simulated accretion flows to estimate their implied radiative efficiency. We assume that dissipation is proportional to the current density squared, and find that the resulting azimuthally-averaged and shell-integrated radial profile is well-matched to the radial heat dissipation profile of the standard disk model for the region outside the ISCO, particularly when it is adjusted to account for additional stress at the ISCO. In contrast to the standard model, however, the dissipation profile derived from the current density continues past the ISCO and through the plunging region. The total predicted dissipation rate is between $\simeq 30\%$ and $\simeq 100\%$ greater than that predicted by the standard model, depending on the black hole spin. Most of the additional dissipation takes place just outside the ISCO. To predict luminosities, we assume instantaneous radiation and zero optical depth, but allow for photon capture. The net radiative efficiency seen by

a distant observer is increased relative to the standard model by $\simeq 25\%$ – 80% , with the largest fractional increase for intermediate black hole spins because the increase in dissipation from enhanced stress that occurs for rapid spin is partially offset by the increased likelihood that the additional photons will be captured by the hole.

Subject headings: Black holes - magnetohydrodynamics - stars:accretion

1. Introduction

Accretion onto black holes is the most efficient known method of liberating the rest-mass energy of matter and it is generally accepted that accretion through an orbiting disk is the engine that produces the luminosity emerging from active galactic nuclei and Galactic X-ray binary systems. Increasingly sophisticated observations are now providing detailed information that may soon lead to improved understanding of the dynamics of this accreting gas, and, by inference, the properties of the strong gravitational fields around black holes.

What has become the standard model for how mass arrives at black holes and radiates light along the way was first presented in Novikov & Thorne (1973). In this model, the flow is assumed to be time-steady, axisymmetric, and geometrically thin, and follows circular Keplerian orbits at all radii outside the innermost stable circular orbit (the “ISCO”, which has radius r_{ms}). Inter-ring torques act to decrease slowly the specific angular momentum in the orbiting gas, allowing accretion to occur. At and inside the ISCO, these torques are assumed to vanish, and whatever angular momentum and energy remain in the gas is deposited in the central black hole; this boundary condition determines the net flux of angular momentum throughout the time-steady disk. Application of the principles of conservation of angular momentum and energy then defines the radial profile of all vertically-integrated properties of the accretion flow. In particular, conservation of energy demands that the difference between the work done in some annulus by the torques and the net potential energy lost as matter flows across the annulus be deposited locally as heat. The assumption of geometrical thinness embedded in the standard model is equivalent to the assumption that all the dissipated heat is radiated locally. Because the accretion inside the ISCO is assumed to be in free-fall, the integrated luminosity per unit rest-mass accreted, i.e. the radiative efficiency of accretion, is identically equal to the binding energy per unit rest-mass at the ISCO. This efficiency ranges from $\simeq 5.7\%$ for a Schwarzschild black hole to as high as $\simeq 42\%$ for a maximally spinning Kerr hole.

In the notation of Krolik (1999a), the radial dependence of the dissipation rate is

$$Q = \frac{3GM\dot{M}}{4\pi r^3} R_R(r). \quad (1)$$

Here, $R_R(r)$ is a function encapsulating all of the relativistic effects relevant for disk dynamics and the effect of the (assumed) inner boundary condition. In the Newtonian limit for a Keplerian potential, $R_R(r)$ takes the familiar form:

$$R_R(r) = 1 - (r_m/r)^{1/2}, \quad (2)$$

where r_m is the radius in the disk at which the stress is zero. Q is defined in the frame that comoves with the surfaces of the disk (the “disk frame”). Determining the form of this function as measured by a distant observer requires a transformation into that observer’s reference frame (see §5).

Although it does provide a context in which to understand many properties of a wide variety of accretion systems, this standard model is now known to have many limitations. One of these limitations lies at the heart of this paper: its assumption that stress, and therefore dissipation, end at the ISCO. This assumption is based on heuristic arguments, framed in a purely hydrodynamic context (indeed Page & Thorne 1974, point out that strong magnetic fields could undercut those arguments). Now that we have strong reason to believe that turbulent magnetic fields are responsible for accretion (Balbus & Hawley 1998), it is clear that dynamics near the ISCO must be considered more carefully in order to determine the true radiative efficiency (Krolik 1999b; Gammie 1999). In a previous paper (Krolik et al. 2005), we showed that the magnetic stresses are, in fact, quite strong at and inside the ISCO (in the language of Krolik & Hawley 2002, the “stress edge” lies well inside the ISCO and can even go right to the event horizon). In this paper, we examine the consequences for energy output. Similarly borrowing terminology from Krolik & Hawley (2002), we seek to locate the “radiation edge,” the radius from within which little light emerges to reach the outside world.

Our approach rests on a number of fully general relativistic three dimensional MHD simulations of accretion onto black holes, reported in a series of papers beginning with De Villiers et al. (2003). These simulations were focused primarily on dynamics and the torques generated by Maxwell stresses within the accretion flow. Because these simulations were unable to track dissipation directly, we must begin by developing a prescription to model the dissipation within the accretion flow. This prescription can then be used to predict the observed luminosity. To this end, we use the magnetic 4-current density as a proxy for local heating in a fashion similar to that proposed by Machida & Matsumoto (2003) and Hirose et al. (2004, 2006) to determine where photons are generated, and then make use of

a general relativistic ray-tracing package described previously by Beckwith & Done (2004, 2005) to follow the subsequent paths of those photons.

The remainder of this paper is divided into four sections. In §2, we review the features of the simulations on which this work is based. In §3, we outline how the dissipation of gravitational potential energy within the flow may be described by use of the magnetic 4-current density and compare this quantity’s radial profile to the dissipation profile expected from the standard relativistic disk model. In §4, we show how these dissipation functions can be transformed into radiation observed at large distance. To do so, we assume that all heat is radiated instantaneously and the photons encounter no opacity. This method is then applied in §5 to generate photon distribution functions and in §6 to determine the observed radiation profile and the effective radiative efficiency of the flow. Finally, in §7, we summarize our results and point the way to future work.

2. Overview of Simulations

The calculations presented here are based on the results of the Keplerian Disk (KD) simulations, which have been analyzed in a series of papers by De Villiers et al. (2003), Hirose et al. (2004), De Villiers et al. (2005) and Krolik et al. (2005). For purposes of clarity, we present a brief summary of key aspects of these simulations. The equations of ideal non-radiative MHD are solved in the static Kerr metric of a rotating black hole using Boyer-Lindquist coordinates expressed in gravitational units ($G = M = c = 1$) with line element $ds^2 = g_{tt}dt^2 + 2g_{t\phi}dtd\phi + g_{rr}dr^2 + g_{\theta\theta}d\theta^2 + g_{\phi\phi}d\phi^2$ and signature $(-, +, +, +)$. The determinant of the 4-metric is $\alpha\sqrt{\gamma}$, where $\alpha = (-g^{tt})^{-1/2}$ is the lapse function and γ is the determinant of the spatial 3-metric. The finite difference algorithm used is described by De Villiers & Hawley (2003a).

The initial conditions for the KD simulations consist of an isolated hydrostatic gas torus orbiting near the black hole, with the pressure maximum located at $r \approx 25M$ and a (slightly) sub-Keplerian distribution of angular momentum throughout. The initial magnetic field is weak and purely poloidal, and follows isodensity surfaces within the torus. The MRI, acting on this initial field, leads to large-amplitude MHD turbulence that drives the subsequent evolution of this torus. By the end of the simulation a quasi-steady state accretion disk extends from the hole out to $r \sim 20M$. Beyond this radius the net mass motion shifts to outward flow as it absorbs angular momentum from the inner disk. In this paper, therefore, we focus our attention on the region inside $20M$.

Here we will make use of the data from the four high resolution simulations presented

by De Villiers et al. (2003), which are designated KD0, KDI, KDP and KDE and correspond to black hole spins of $a = 0.0, 0.5, 0.9, 0.998$ respectively. Each simulation used $192 \times 192 \times 64$ (r, θ, ϕ) grid zones. The radial grid extends from an inner boundary located at $r_{in} = 2.05, 1.90, 1.45, 1.175M$ for KD0, KDI, KDP, KDE (i.e. just outside the black hole event horizon in each case), to the outer boundary located at $r_{out} = 120M$ in all cases. The radial grid is graded according to a hyperbolic cosine function in order to concentrate grid zones close to the inner boundary. An outflow condition is applied at both the inner and outer radial boundary. The θ -grid spans the range $0.045\pi \leq \theta \leq 0.955\pi$ using an exponential distribution that concentrates zones near the equator. A reflecting boundary condition is used along the conical cutout surrounding the coordinate axis. Finally, the ϕ -grid spans the quarter plane, $0 \leq \phi \leq \pi/2$, with periodic boundary conditions in ϕ . The use of this restricted angular domain significantly reduces the computational requirements of the simulation (for further discussion of the effects of this restriction see De Villiers & Hawley 2003b). Each simulation was run to time $8100M$, which corresponds to approximately 10 orbits at the initial pressure maximum. For each simulation the time step Δt is determined by the extremal light crossing time for a zone on the spatial grid and remains constant for the entire simulation (De Villiers & Hawley 2003a).

The state of the relativistic fluid at each point in spacetime is described by its density ρ , specific internal energy ϵ , 4-velocity U^μ , and isotropic pressure P . The relativistic enthalpy is $h = 1 + \epsilon + P/\rho$. The pressure is related to ρ and ϵ via the equation of state for an ideal gas, $P = \rho\epsilon(\Gamma - 1)$, where $\Gamma = 5/3$. The magnetic field is described by two sets of variables. The first is the constrained transport magnetic field $\mathcal{B}^i = [ijk]F_{jk}$, where $[ijk]$ is the completely anti-symmetric symbol, and F_{jk} are the spatial components of the electromagnetic field strength tensor. From these are derived the magnetic field four-vector, $(4\pi)^{1/2}b^\mu = {}^*F^{\mu\nu}U_\nu$, and the magnetic field scalar, $||b^2|| = b^\mu b_\mu$. The electromagnetic component of the stress-energy tensor is $T_{(EM)}^{\mu\nu} = \frac{1}{2}g^{\mu\nu}||b||^2 + U^\mu U^\nu ||b||^2 - b^\mu b^\nu$.

In this paper we shall examine the spatial distribution of the squared current 4-vector $||J^\mu||^2$ as a stand-in for dissipation in the accretion flow. The behavior of $||J^\mu||^2$ in the KD simulations was examined previously by Hirose et al. (2004). The definition of the current is

$$J^\mu = \frac{1}{4\pi} \nabla_\nu F^{\mu\nu}. \quad (3)$$

Here ∇_ν is the covariant derivative and $F^{\mu\nu}$ is the electromagnetic field strength tensor. The covariant derivative can be simplified by use of the anti-symmetry of $F^{\mu\nu}$, yielding:

$$J^\mu = \frac{1}{4\pi\sqrt{-g}} \partial_\nu (\alpha \sqrt{\gamma} g^{\mu\lambda} g^{\nu\xi} F_{\lambda\xi}) \quad (4)$$

The current density scalar is then obtained simply from $||J||^2 = J^\mu J_\mu$.

Evaluation of J^μ requires the computation of time-derivatives. Since this information was not saved directly during the simulation itself, J^μ is obtained using data saved from three adjacent time steps. Considerable effort is required to generate J^μ for an individual time-step within a simulation, so we limit our analysis in this initial investigation to a snapshot of the current density at $t = 8080M$, where all but the $a = 0.998$ case have reached a quasi-steady state.

In addition to the accretion disk, in all of these simulations unbound matter flows out in a cone aligned with the rotation axis. We have found it to be useful to distinguish this unbound material from the bound accretion flow. We designate as outflow any cell in which the specific energy $-\hbar U_t > 1$ (signaling that it is unbound) and $U^r > 0$. In this paper we focus exclusively on the “bound” material.

3. Dissipation & Relation to Current Density

Determining the observational properties of an accretion disk model requires locating where it generates heat, that is, measuring—rather than assuming—the function $Q(r)$. In a real disk, torques arise from the action of Maxwell stresses (and to a lesser extent Reynolds stresses) in the MHD turbulence generated by the MRI. The energy thus extracted from the orbital motion is initially deposited into the kinetic and magnetic energy on the largest scales of the turbulence. Subsequently, this energy cascades down to a dissipation scale (either viscous or resistive) where it is finally thermalized. The simulations describe well the first stages of this process, but can only mimic indirectly the last step: grid-level effects intervene at lengthscales far longer than the likely physical scale of dissipation.

In the present simulations Q cannot therefore be directly determined. The simulations use a nonconservative internal energy equation, and much of the turbulent kinetic and magnetic energy is lost numerically on the grid scale, or partially captured through the use of an artificial viscosity. We therefore cannot make rigorous arguments in support of any particular function of the dynamical data as a tracer of the local dissipation rate. At present we must instead look at simulation quantities that have the potential to trace the dissipation, making plausibility arguments that may lead us towards a good choice.

In doing so we have a powerful discriminant in the form of equation (1). So long as there is little global energy transport by waves, the classical azimuthally-averaged and vertically-integrated relationship between stress and dissipation still holds even for time-dependent disks (Balbus & Papaloizou 1999). Thus, equation (1) should still apply in the main disk body if the instantaneous accretion rate is known, modulo a correction that accounts for any

modification in the angular momentum flux due to magnetic stresses at and inside the ISCO (Agol & Krolik 2000). What we seek is more general: a measure of the dissipation rate that may be used in the plunging region as well as the disk body, and can be used in a sense that is local with respect to both position and time. To this end, we reinterpret equation (1) as a constraint on any candidate prescription for dissipation: a successful proxy for dissipation must agree with it after azimuthal-averaging and vertical-integration in the region (the main disk body) where that equation is valid.

Our chosen proxy for tracing dissipation within the simulations is the current density, $||J||^2$. A naive application of Ohm’s law (Power \propto Current²) partially motivates this choice. Rosner et al. (1978) suggested that (in the case of the solar corona), regions of high current density are *candidates* for regions of high magnetic dissipation (and hence thermal heating), as high current density *may* trigger anomalous resistivity through mechanisms such as ion-acoustic turbulence, although it should be emphasized that no physical model (in the context of accretion disks) relating current density and dissipation is presently known. The connections between current and dissipation have also been examined in local MHD shearing box simulations. Hirose et al. (2006) tracked dissipation explicitly and showed that dissipation within a gas pressure-dominated local shearing box is well correlated with the current density, but $\propto |\mathbf{J}|^{1.13}$, not $|\mathbf{J}|^2$. (Recall that the shearing box simulations are non-relativistic, and $\mathbf{J} = \nabla \times \mathbf{B}/4\pi$.) In light of these results we have considered arbitrary powers of current, $||J||^x$, and adjusted x to provide a close match to the radial dependence of the standard Q in the disk body. We find that the choice $||J||^2$ is not only physically well-motivated, but also provides the best match to the slope of Q . It is entirely possible that prescriptions involving quantities other than the current density could serve as well or better as indicators of the dissipation rate. We have explored several candidates, chosen on the basis of their relation to energy flow within the disk (the local magnetic work, $U^\phi T_{\phi(EM)}^r$, for example), but none passed our plausibility tests as well as the squared current-density.

To make the match with equation 1 quantitatively, first recall that the dissipation rate, Q , is defined (in Page & Thorne 1974) as the energy release per unit proper time per unit proper area as measured by an observer who co-rotates with the disk (the “fluid frame”). However, the radiative efficiency of the accretion flow (i.e., the ratio of the integral of the dissipation rate to the rest mass energy of the accreted matter) is defined as seen by a distant observer, at rest in the global Boyer-Lindquist coordinate frame. Determining the radiative efficiency of the flow therefore requires transforming the dissipation rate from the fluid frame to the distant observer frame. For this reason, we compute the dissipation profiles in the distant observer frame, as this choice of frames provides the reader with the most direct link to the integrated radiative efficiency.

Our specific procedure is to assume that the dissipation is due to processes that act like a uniform resistivity (note that the perfect MHD approximation on which the simulations were based assumes that there is perfect conductivity in the fluid frame). In the language of Page & Thorne (1974), the radiation contribution to the vertical energy flux T_t^z at the top surface of the disk (as seen in the coordinate frame) may be written as $-U_t q^z$, where q^z is the energy flux in the fluid frame. Because q^z is evaluated at the top surface of the disk, it is implicitly derived from an integral with respect to height of the local dissipation rate through the volume of the disk. We make the ansatz that the analog to q^z in our situation (in which the disk is not razor-thin) is proportional to the squared current density integrated over θ , i.e.,

$$q^z \propto \int d\theta \sqrt{g_{\theta\theta}} ||J||^2. \quad (5)$$

With this identification, the dissipation profile as a function of radius in the coordinate frame is

$$D(r) \propto \frac{\int_{\text{bound}} -U_t(r, \theta, \phi) ||J(r, \theta, \phi)||^2 \alpha \sqrt{\gamma} d\theta d\phi}{\sqrt{g_{rr}}(r, \theta = \pi/2) g_{\phi\phi}(r, \theta = \pi/2) \int d\phi}. \quad (6)$$

When computing a radial dissipation profile we confine our attention to the disk-like material within the global flow, hence the use of the subscript “bound” on the integral to denote that it includes only contributions from bound material within the accretion flow. This excludes the region identified as the “funnel wall jet” in previous studies (De Villiers et al. 2005). The analogous quantity for the standard model (Thorne 1974) is:

$$D(r) \propto \frac{\int_{\text{bound}} -U_t Q \alpha \sqrt{\gamma} d\phi}{\sqrt{g_{rr}}(r, \theta = \pi/2) g_{\phi\phi}(r, \theta = \pi/2) \int d\phi}. \quad (7)$$

Note that the factor $\alpha \sqrt{\gamma}$ in this last integral is determined from the (2 + 1)-d line element given in Page & Thorne (1974).

In this context, however, there is another standard of comparison for our dissipation prescription that is even more directly relevant. Agol & Krolik (2000) generalized the Page & Thorne (1974) solution to allow for non-zero stress at r_{ms} . Because magnetized accretion disks do have stresses in the marginally stable region (Krolik et al. 2005), we also construct a $D(r)$ for their solution in direct analogy with equation (7). The additional stress at r_{ms} can be parameterized in terms of the additional integrated dissipation in the disk $\Delta\epsilon$. We call this generalized solution the “stressed standard model”.

In Figure 1, we contrast three different versions of $D(r)$: the standard model; the current prescription with a coefficient chosen so that this version matches the standard model at $r = 20M$; and a “stressed standard model” whose additional stress is fixed by fitting to the current prescription after its normalization to the standard model. In the main disk

body, where there is little difference between the standard model and the stressed standard model, we find that the current density tracer does a good job of matching both curves. As r_{ms} is approached from the outside, the $D(r)$ predicted by the current density continues to fit well the stressed standard model for all four black hole spins. Most strikingly, the dissipation profile predicted by the current varies smoothly across r_{ms} , in marked contrast to the predictions of either the standard or the stressed standard disk model. The former goes to zero at r_{ms} as a consequence of the zero-stress inner boundary condition; the latter does the same because it is not defined at smaller radii. In addition, not only is $D(r)$ continuous across r_{ms} , it is also continuous through the plunging region down to the inner boundary of the simulation. This behavior implies that, for a given black hole mass, spin, and accretion rate, the accretion flow is more efficient in dissipating energy within the flow than would be expected from the standard accretion disk model. We must remind the reader, however, that although the three lower-spin simulations had achieved a statistical steady-state by $t = 8080M$ in the sense that their shell-integrated mass accretion rates varied very little with radius, it is clear from the radial fluctuations in these curves that a great many more samples would be necessary in order to achieve a good estimate of the time-average shell-integrated $||J||^2$.

Dissipation over and above the standard model prediction can occur both inside and outside r_{ms} . Data shown in Table 1 give some sense of just how much additional dissipation there can be in both the plunging region and the stably-orbiting disk. To compute these numbers, we define

$$L(r_1, r_2) = \int_{r_1}^{r_2} \int^{\phi} D(r) \sqrt{g_{rr} g_{\phi\phi}} dr d\phi \quad (8)$$

as the total energy dissipation rate within the region $r_1 < r < r_2$, where $D(r)$ is the dissipation function derived either from the current or the magnetic work. For a given dissipation function, we compute L for two regions: inside the plunging region, $r_{in} \leq r < r_{ms}$, and within that part of the stably-orbiting disk where accretion is taking place, $r_{ms} \leq r \leq 20M$. In reality, dissipation will take place at radii far in excess of $20M$, beyond the limit of the (simulated) stably-orbiting disk. Integrating the standard model dissipation rate, Q for $r_{ms} \leq r < 20M$ and $20M \leq r < \infty$ reveals that, for $a/M = 0.0$, more than *half* of the total energy liberated from the accretion flow originates outside $20M$. A fair estimate of the relative increase in the radiative efficiency created by the additional dissipation must therefore include an estimate of dissipation outside $r = 20M$. To accomplish this, we adopt a simple prescription: for $r \geq 20M$, the simulated dissipation rates are given by Q .

Table 1 shows the data for dissipation derived from the current. Depending on the spin parameter, the total dissipation rate is between 30% and 100% greater than predicted by the standard model, with the maximum occurring for intermediate spin $a/M = 0.5$. Most of

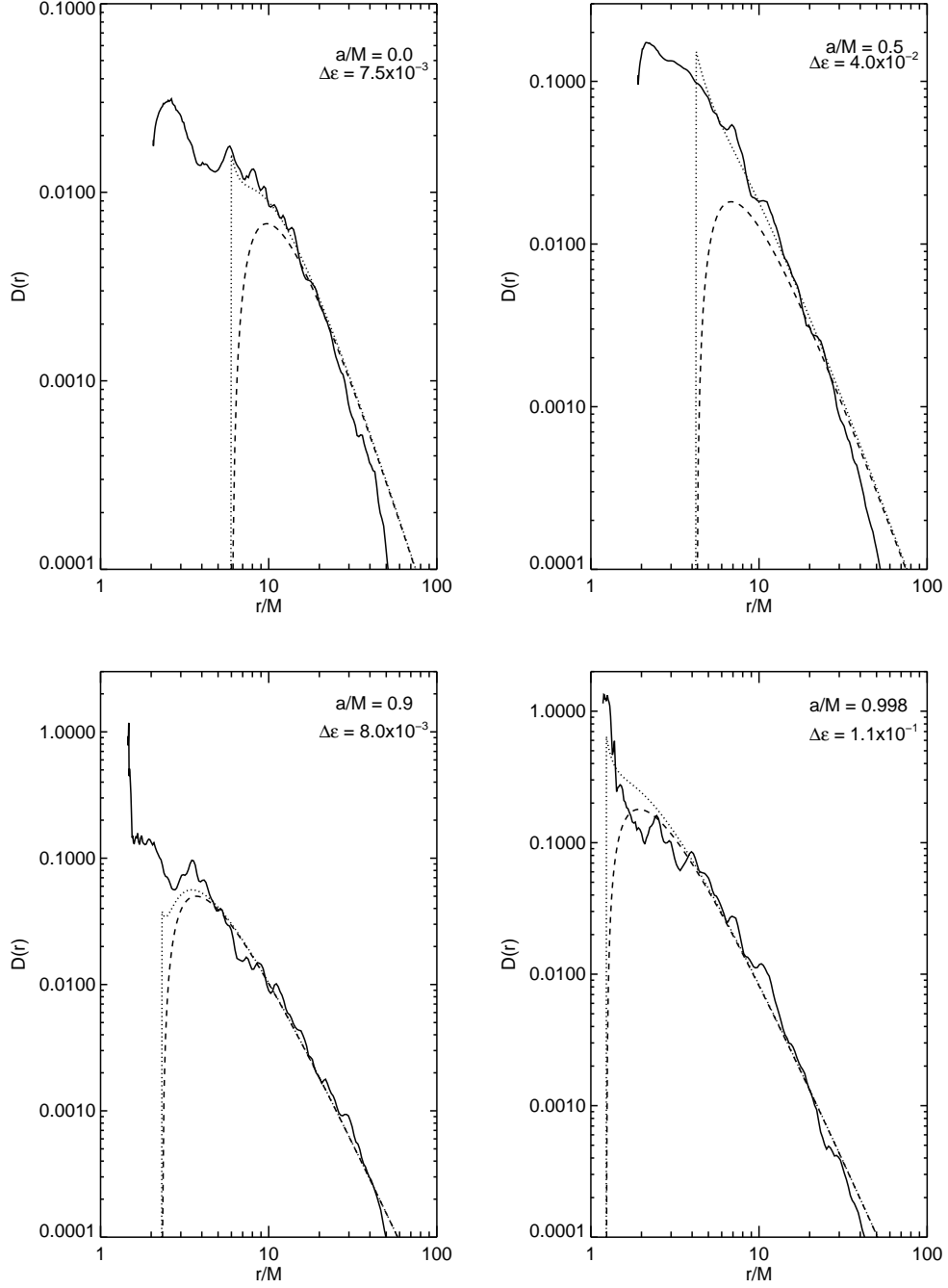


Fig. 1.— Radial dissipation profile derived from the current density, $\|J\|^2$ (solid lines). For comparison, we also show the dissipation function Q predicted by the standard relativistic disk model assuming the stress-free inner boundary condition at r_{ms} (dashed lines), along with the stressed standard model proposed by Agol & Krolik (2000) (dotted lines), where the choice of $\Delta\epsilon$ is given in the plot. The plots correspond to (a) KD0 ($a/M = 0$); (b) KDI ($a/M = 0.5$); (c) KDP ($a/M = 0.9$) and (d) KDE ($a/M = 0.998$). The radial profile of $\|J\|^2$ is normalized such that it matches Q at $r = 20M$.

this additional dissipation takes place in the inner disk not far outside the ISCO, with the plunging region contributing an interesting, but distinctly minority, share (between 13% and 27% of the total). Thus, if the current density correctly gives the heating rate, the standard model substantially underestimates its magnitude.

So far we have discussed only the ratio of the predicted dissipation to that of the standard model. It is also useful to look at their absolute levels (see Table 2). In this table, ϵ_{SM} denotes the total dissipation predicted by the classical Novikov-Thorne model, integrated from r_{ms} to ∞ . This quantity is what is generally called the radiative efficiency. The subscript *SSM* denotes “stressed standard model,” by which we mean the standard model adjusted for supplemental stress at r_{ms} (Agol & Krolik 2000), with the additional stress chosen by fitting to the current-density curve. The last column is the integrated dissipation over the entire accretion flow as predicted by the current-density estimator. For the most part, this new estimate of the total dissipation is considerably larger than the standard model, raising its nominal radiative efficiency 30% (the non-rotating case), 100% ($a/M = 0.5$), or 50 – 60% (the two high-spin cases). Comparing ϵ_{SSM} to $\epsilon_{||J||^2}$ shows that most, but not all, of the additional dissipation takes place outside r_{ms} . In all cases, as we will show in detail in the later sections of this paper, the integrated dissipation rate is greater than the luminosity actually reaching infinity.

One potential advantage of global numerical simulations over the standard model is that more complex (and perhaps more realistic) emission geometries are possible. In the standard geometrically thin disk model, all emission originates in the equatorial plane. By contrast, the disks in the KD simulations are somewhat geometrically thick (typical ratio of matter scale-height to radius $\simeq 0.1$), and so emission can occur away from the equatorial plane. Using the current density prescription for dissipation, we find that the azimuthal average of

Table 1. Relative Dissipation Rates Derived from $||J||^2$

Model	a/M	$\frac{L(r_{in}, r_{ms})}{L(r_{in}, \infty)}$	$\frac{L(r_{ms}, \infty)}{L(r_{in}, \infty)}$	$\frac{L(r_{in}, \infty)}{L_{SM}(r_{ms}, \infty)}$
KD0	0.0	0.13	0.87	1.30
KDI	0.5	0.25	0.75	1.99
KDP	0.9	0.27	0.73	1.52
KDE	0.998	0.14	0.86	1.60

Table 2. Radiative Efficiencies of Different Dissipation Models

Model	a/M	$\epsilon_{SM}(r_{ms}, \infty)$	$\epsilon_{SSM}(r_{ms}, \infty)$	$\epsilon_{ J ^2}(r_{in}, \infty)$
KD0	0.0	0.06	0.07	0.08
KDI	0.5	0.09	0.13	0.17
KDP	0.9	0.16	0.17	0.25
KDE	0.998	0.34	0.45	0.54

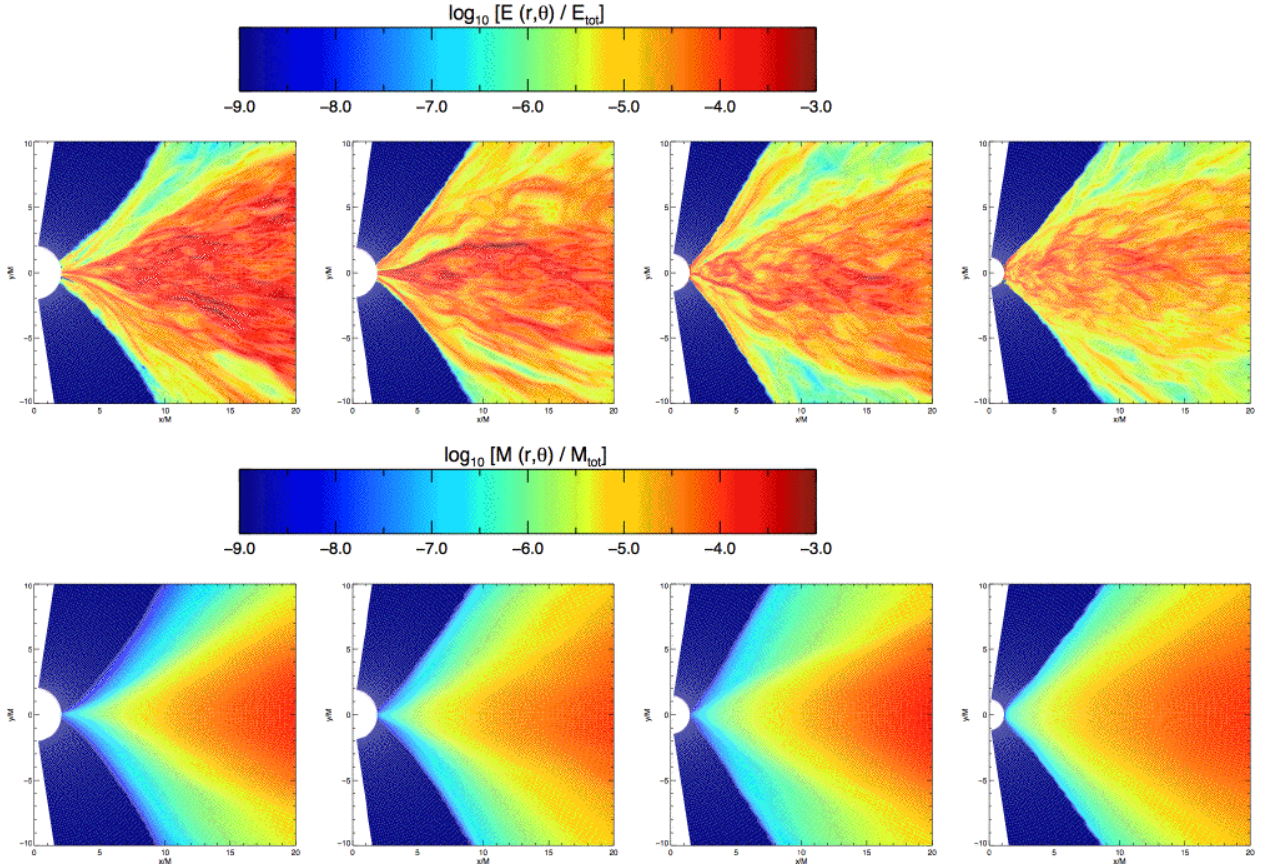


Fig. 2.— Maps of the heating distribution $E(r, \theta)$ derived from $||J||^2$ along with the mass distribution $M(r, \theta)$ for $r < 20M$. From left to right, the panels are maps for the KD0, KDI, KDP and KDE simulations, which correspond to black hole spins of $a = 0.0, 0.5, 0.9, 0.998$ respectively. The top row of panels show $E(r, \theta)$; the bottom row of panels show $M(r, \theta)$. In each case, the energy released is dominated by contributions within the main disk body, especially in the region around the equatorial plane.

the total energy released in a cell centered on r, θ is given by:

$$E(r, \theta) \propto \int_0^{\pi/2} -U_t(r, \theta, \phi) ||J(r, \theta, \phi)||^2 \alpha \sqrt{\gamma} \Delta t \Delta r \theta d\phi \quad (9)$$

The total energy released from a given simulation is defined as $E_{\text{tot}} = \sum_{\text{bound}} E(r, \theta)$. Figure 2 shows a map of this heating distribution for each of the KD simulations, where we have again removed contributions from unbound material. For reference, we also show the spatial distribution of total mass, given by:

$$M(r, \theta) \propto \int_0^{\pi/2} \rho(r, \theta, \phi) \alpha \sqrt{\gamma} \Delta t \Delta r \Delta \theta d\phi \quad (10)$$

The total mass within the disk body is similarly defined as $M_{\text{tot}} = \sum_{\text{bound}} M(r, \theta)$. Examining Figure 2, we see that the majority of energy release is concentrated around the equatorial plane, where the bulk of material in the disk is located.

It is of particular interest to study the division of dissipation between high-density and low-density regions because the local density is likely to play an important role in determining the character of the radiation ultimately produced. Where the density is high, thermalization is the most likely fate of the dissipated energy. In such an environment, the absorptive opacity is typically high, matter and radiation readily exchange energy, and the emergent spectrum is near blackbody. On the other hand, release of heat in low-density regions may lead to temperatures so high that absorptive opacity becomes minimal, and the characteristic emergent radiation is hard X-rays produced by inverse Compton scattering.

Here we find that, if dissipation is correctly signalled by the squared current density, it mostly takes place in the dense disk body. However, low-density regions receive a somewhat disproportionate share, in the sense that the mean rate of heat release per unit mass rises as the density falls. Table 3 makes this point quantitatively. For the purposes of this table, we define the disk “corona” as those regions of bound matter where the density is less than e^{-2} times the density on the midplane at that radius and azimuthal angle. As it shows, the fraction of heat deposited in the corona defined in this manner falls in the range 15–30%, with a possible rising trend with increasing black hole spin. Moreover, the rate of dissipation per unit mass is between $\simeq 2.5$ and 5 times greater than the mean for the disk body inside 20M.

In this section we have considered dissipative efficiency derived from a physically plausible dissipation process. Despite the significant uncertainties, our results indicate that dissipation in the marginally stable region can be significant, and that the nominal radiative efficiency of accretion onto black holes predicted by the standard model may be a substantial underestimate.

4. Transforming the Dissipation Profile

If we wish to relate the proposed link between current density and dissipation to the gross *observed* energetics of magnetized accretion flows, we must account for several processes that lie between local heat dissipation and the detection of light by distant observers. The dissipated heat must be converted to photons that must make their way through any local opacity before arriving at infinity with their energies altered by both Doppler and gravitational effects. Some of the radiated photons can be captured by the black hole, never reaching infinity at all. In fact, even in the standard model, photon capture makes the *effective* radiative efficiency smaller than the nominal efficiency.

Here we take a greatly-simplified approach to the problem. We use $||J||^2$ as a local tracer of dissipation and assume that heat is instantly converted into photons that are emitted isotropically in the rest frame of the fluid. We further assume that the disk material is optically thin, so that this radiation escapes from the matter instantaneously and is not advected with the flow. We follow the photons' trajectories until they are captured by the hole or reach infinity. For those photons that reach infinity, we calculate the photon transfer function (the ratio between the intensity as received at infinity and as radiated in the fluid frame: see eqn. 12) and note how many times each path crosses the equatorial plane. Finally, for a given radius in the accretion flow, we convolve the photon transfer function with $||J||^2$ and integrate the resulting function over energy and observed inclination. This yields a solid angle- and frequency-integrated observed luminosity for a given radius within the accretion flow. Summing over radii, we obtain the observed luminosity. Its ratio to the rest-mass accretion rate is the effective radiative efficiency.

Our numerical approach is as follows. To make the problem manageable we begin by averaging over azimuth. Then for each grid location in r and θ we generate $\sim 10^5$ photons with a uniform probability distribution in solid angle with respect to the fluid frame angles Θ, Φ (see Figure 3). Individual photon orbits are defined in terms of the conserved values

Table 3. Energy dissipation in coronal regions

Model	a/M	$F_E = E_{cor}/E_{tot}$	$F_M = M_{cor}/M_{tot}$	F_E/F_M
KD0	0.0	0.15	0.05	3.1
KDI	0.5	0.17	0.07	2.6
KDP	0.9	0.29	0.06	5.1
KDE	0.998	0.21	0.04	4.8

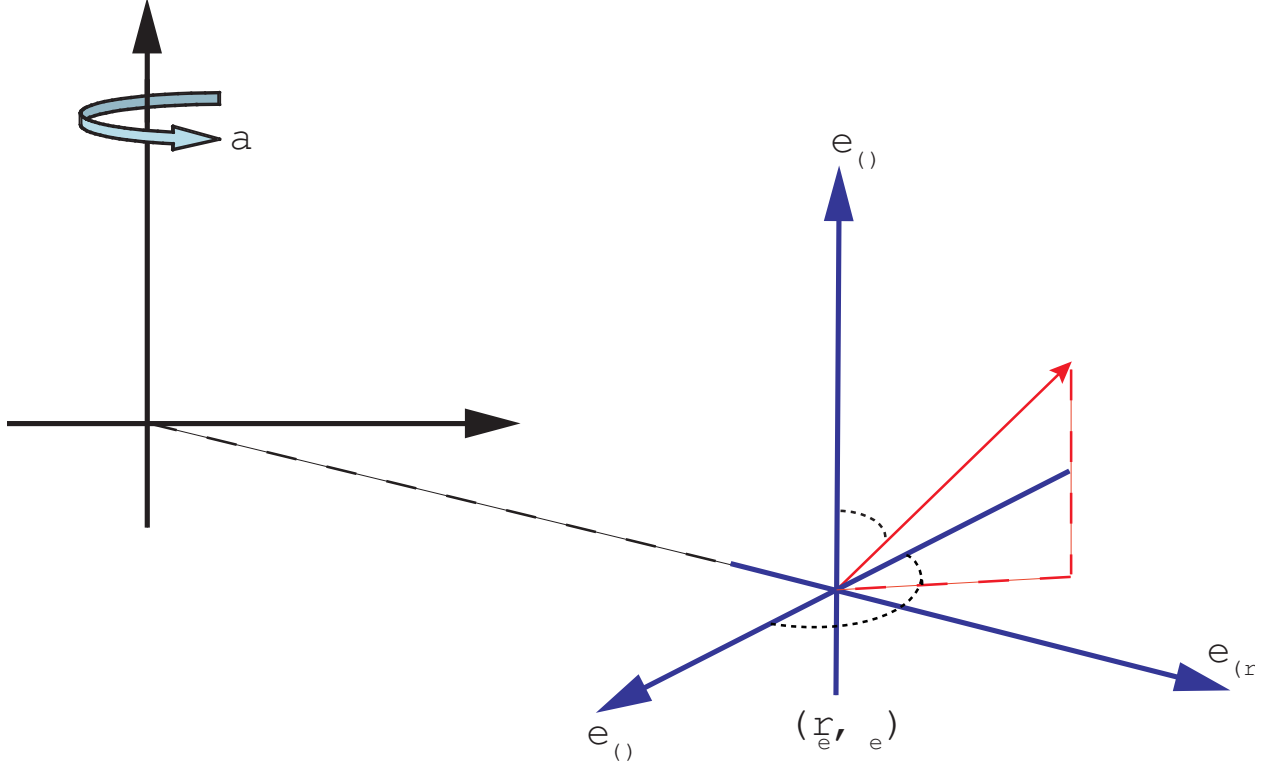


Fig. 3.— The co-ordinate system associated with the fluid frame. The components of the photons' 4-momenta in this frame are evaluated through $p_{(\nu)} = e_{(\nu)}^\mu p_\mu$. From these we can define the angles formed by the spatial components of p_μ with the basis vectors of the fluid frame through the relations $\cos \Theta = p_{(\theta)}/p_{(t)}$, $\sin \Theta \sin \Phi = p_{(r)}/p_{(t)}$, $\sin \Theta \cos \Phi = \cos \Psi = p_{(\phi)}/p_{(t)}$.

of angular momentum λ and Carter’s constant q . Because orbits can be traversed in either of two senses, we also note the initial radial and polar directions taken by the photon with respect to the global Boyer-Lindquist coordinates, designated $s_r^e = \pm 1$ and $s_\theta^e = \pm 1$. In these and in other terms, the notation e and o refer to the emitted and observed coordinates of the photon. We must relate these quantities to the fluid frame angles for each photon by projecting the covariant photon momenta, p_μ onto a set of basis vectors, $\mathbf{e}_{(\nu)}$ describing the rest frame of the gas (the fluid frame, see Figure 3 and Appendix A). This projection, $p_{(\nu)} = e_{(\nu)}^\mu p_\mu$ is related to the fluid frame angles Θ, Φ, Ψ via (see Figure 3):

$$\cos \Theta = \frac{p_{(\theta)}(\lambda, q; s_{r,\theta}^e)}{p_{(t)}(\lambda, q; s_{r,\theta}^e)}; \quad \sin \Theta \sin \Phi = \frac{p_{(r)}(\lambda, q; s_{r,\theta}^e)}{p_{(t)}(\lambda, q; s_{r,\theta}^e)}; \quad \cos \Psi = -\frac{p_{(\phi)}(\lambda, q; s_{r,\theta}^e)}{p_{(t)}(\lambda, q; s_{r,\theta}^e)}; \quad (11)$$

Here, for example, $p_{(\theta)} = e_{(\theta)}^\mu p_\mu$. Unfortunately, analytic inversion of the relationships between directions in the fluid frame, the conserved quantities λ, q and the initial directions $s_{r,\theta}^e$ is not possible. Instead, we apply a numerical algorithm described by Powell (1970) as implemented in the NAG FORTRAN Library (Mark 21), which enables the fast solution of the above set of equations. Individual photon trajectories are then determined by the analytic integration of the null geodesic equations (see Beckwith & Done 2004, 2005).

Some discussion of our treatment of photons that make multiple orbits of the black hole is necessary. We define a “multiple-crossing orbit” as one that crosses the equatorial plane at least twice. Here we define “orbit” as being the entire curve defined by q and λ , for either sense of traversal. Thus, our “multiple-crossing” category includes what others have called “returning radiation”. In addition, it includes photons that originate within the plunging region, many of which first cross the equatorial plane in the vicinity of the photon orbit (see e.g. Chandrasekhar 1983). It is important to distinguish those photons on multiple-crossing orbits from those that reach infinity directly because it is likely that our assumption of zero opacity is not valid for them. Some, particularly those that pass through the equatorial plane at larger radius (the “returning radiation” class) will be absorbed by the flow. Others, particularly those crossing the plane in the plunging region, even if not absorbed, may be scattered onto capture orbits. Unfortunately, the specific fractions subject to these processes depend on many details of the accretion flow. For example, we would need to know answers to questions such as: Is the flow hot and low-density and capable of Compton up-scattering, or is it cool, higher density and more absorptive? We therefore do nothing more than count up how much energy arriving at infinity might have been subject to effects like these.

Those photons that reach infinity are collected and used to determine the photon transfer function, $T(r_e, \theta_e, \mu; \theta_o, g)$, where $\mu = \cos \Theta$, θ_o is the polar angle of the trajectory at infinity, and g is the photon redshift, $g = E_o/E_e = 1/p_{(t)}$, where $p_{(t)} = e_{(t)}^\nu p_\nu$. In future work we can, in principle, derive detailed observed spectra through use of the resolved transfer functions.

Here we aim for more modest goals by integrating over the transfer function to determine the radiation profile (luminosity per radial coordinate distance) in a far-away observer’s rest frame:

$$D_o(r) \propto \int_{g_{\min}}^{g_{\max}} dg \int_{\theta_o^{\min}}^{\theta_o^{\max}} \sin \theta_o d\theta_o \int d\mu \int_{\text{bound}} g^2 T(r_e, \theta_e, \mu; \theta_o, g) ||J||^2 \alpha \sqrt{\gamma} \Delta r d\theta d\phi. \quad (12)$$

That is, $D_o(r)$ describes the radial profile of the radiated energy that reaches a distant observer, integrated over the observer’s inclination angle. To evaluate this quantity in a manner consistent with our ansatz for the energy flux, we define the rate of energy release in a grid-cell (measured in the fluid frame) as $\propto ||J||^2 \alpha \sqrt{\gamma} \Delta r \Delta \theta \Delta \phi$ with the same proportionality constant used to fit $D(r)$. We further suppose that the photons are radiated isotropically in the fluid frame. Thus, the relation between $D_o(r)$ and $D(r)$ involves an allowance for both the fraction of photons captured by the black hole and the Doppler shifts arising from the transformation from the fluid frame to the coordinate frame.

5. Photon Capture and Multiple-Crossing Fractions

With the apparatus described in §4 we can now answer the question: what fraction of photons originating at a given radius are captured by the black hole, and what fraction escape to infinity, either directly or through multiple-crossing orbits? Figure 4 displays the results for each of the KD simulations. The solid line corresponds to photons that escape to infinity by direct trajectories, and the dot-dashed line is the fraction that escapes along multiple-crossing orbits; their sum is the dotted line. The dashed line is the fraction of photons that is captured by the hole. For comparison, we also show the results generated for a standard geometrically thin, Keplerian accretion disk; these lines truncate at r_{ms} . Although the emission conditions at any given $r > r_{ms}$ in the simulations are similar to those in the standard model, they are slightly different: in the simulations, radiation is not restricted to the equatorial plane, and the fluid velocity is not identical to the local circular velocity.

Outside $r_{ms}(a)$ the differences between the KD models and the standard are relatively small. As one nears r_{ms} , the fraction of photons that escape to infinity is slightly lower in the KD models; more photons are found on multiple-crossing orbits as well. The near perfect agreement with the standard model beyond $r \sim 10M$ suggests that the finite thickness of the main disk body in the simulations makes very little difference to the probability of photon fates; in this sense the disks are “geometrically thin”. The only difference in the capture rate for $r > r_{ms}$ is due to the small departures between the fluid velocity and the circular orbital velocity. Inside the marginally stable orbit, of course, the standard model has no emission. In this region, the capture fraction rises rapidly with decreasing radius. In fact, the majority

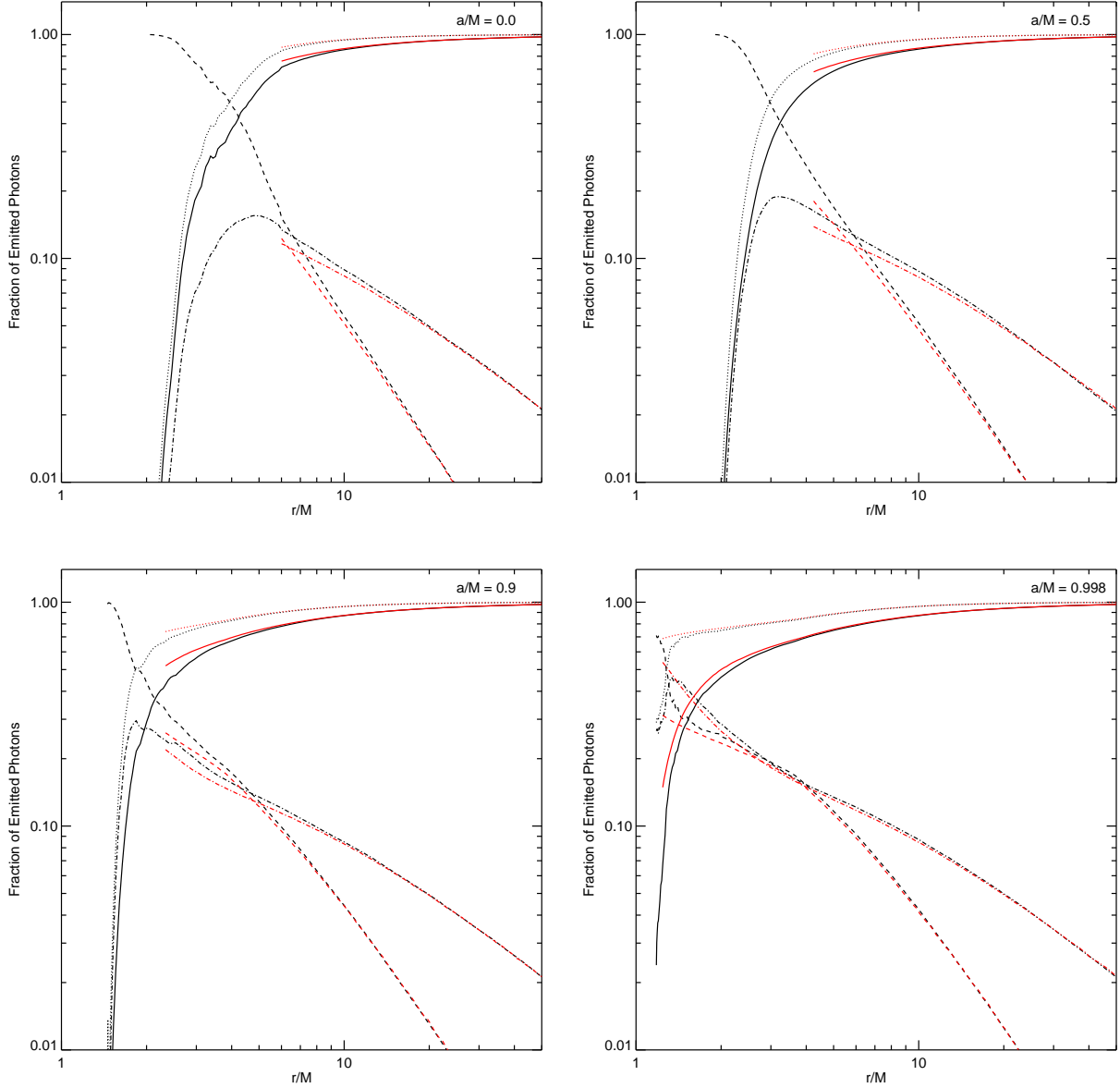


Fig. 4.— Fractions of photons that are either captured by the hole or escape to infinity. The panels show results for $a = 0$ (KD0), $a = 0.5$ (KDI), $a = 0.9$ (KDP) and $a = 0.998$ (KDE). On each panel, we show: (i) the fraction of photons captured by the hole (dashed lines); (ii) the fraction of photons escaping to infinity on direct trajectories (solid lines); (iii) the fraction of photons escaping to infinity on multiple-crossing trajectories (dot-dash lines); (iv) the total fraction of photons escaping to infinity (dotted lines). Each panel shows these functions for disk-like material within the KD simulations (black lines) and a geometrically thin, Keplerian accretion disk extending down to r_{ms} (red lines).

of emitted photons are captured by the hole below $4M$ (KD0), $3M$ (KDI) and $2M$ (KDP). For these simulations, the fraction of photons that travel along multiple-crossing orbits of the hole before reaching infinity also increases with decreasing radius, reaching a maximum of $\sim 15\%$ (KD0), $\sim 30\%$ (KDI), $\sim 40\%$ (KDP) at $\sim 4.5, 3$ and $2.5M$, respectively.

The results for the most rapidly rotating hole considered ($a = 0.998$, KDE) are rather different. Again, the match with the geometrically thin, Keplerian disk is extremely close down to r_{ms} . However, the fraction of photons escaping on multiple-crossing trajectories increases far more rapidly with decreasing radius than for the slowly rotating black holes (for both the simulations and the standard disk model). The majority of photons follow these trajectories for $r \lesssim 2M$, peaking at $\sim 60\%$ of the emitted photons at $\sim 1.5M$. The fraction of captured photons again increases rapidly below r_{ms} ; however, for the KDE simulation, this increase does not occur until rather close to the inner boundary.

The plots shown in Figure 4 describe the probability that an individual emitted photon will be captured by the hole or escape to infinity (and if the latter, what type of trajectory it may take). These functions are not what is measured by a distant observer, however. Instead, the observer measures the *flux* of photons crossing his or her detector. This is obtained from an integral over the photon transfer function as in equation 12. In Figure 5, we compare the amount of flux carried by the direct and multiple-crossing orbit photons by plotting the fractional amount of each as measured by such an observer. Again, there is a close correspondence between the properties of the geometrically thin, Keplerian accretion disk and the main disk body in the KD simulations. For the Schwarzschild hole the majority of the escaping photons remain on direct orbits as one approaches the horizon. On the other hand, for the spinning holes, the emergent flux from the inner regions of the accretion flow is *dominated* by contributions from photons on multiple-crossing orbits. We caution that a quantitative analysis of the actual fate of these photons is likely to be highly-model dependent (see §4) and is beyond the scope of our current discussion.

6. Effective Radiative Efficiencies

The results presented in §3 showed that the dissipation rate derived from $||J||^2$ predicted intrinsic radiative efficiencies that were enhanced in comparison to those derived from the standard disk model. The majority of this enhancement originates in the disk body just outside the marginally stable orbit, with the plunging region contributing between $13\% - 27\%$ of the total disk luminosity. In this section, we combine the results of §3 with the photon capture and multiple-crossing fractions discussed in §5 to determine precisely how much of this enhanced dissipation arrives at the observer, i.e., the effective radiative efficiency of the

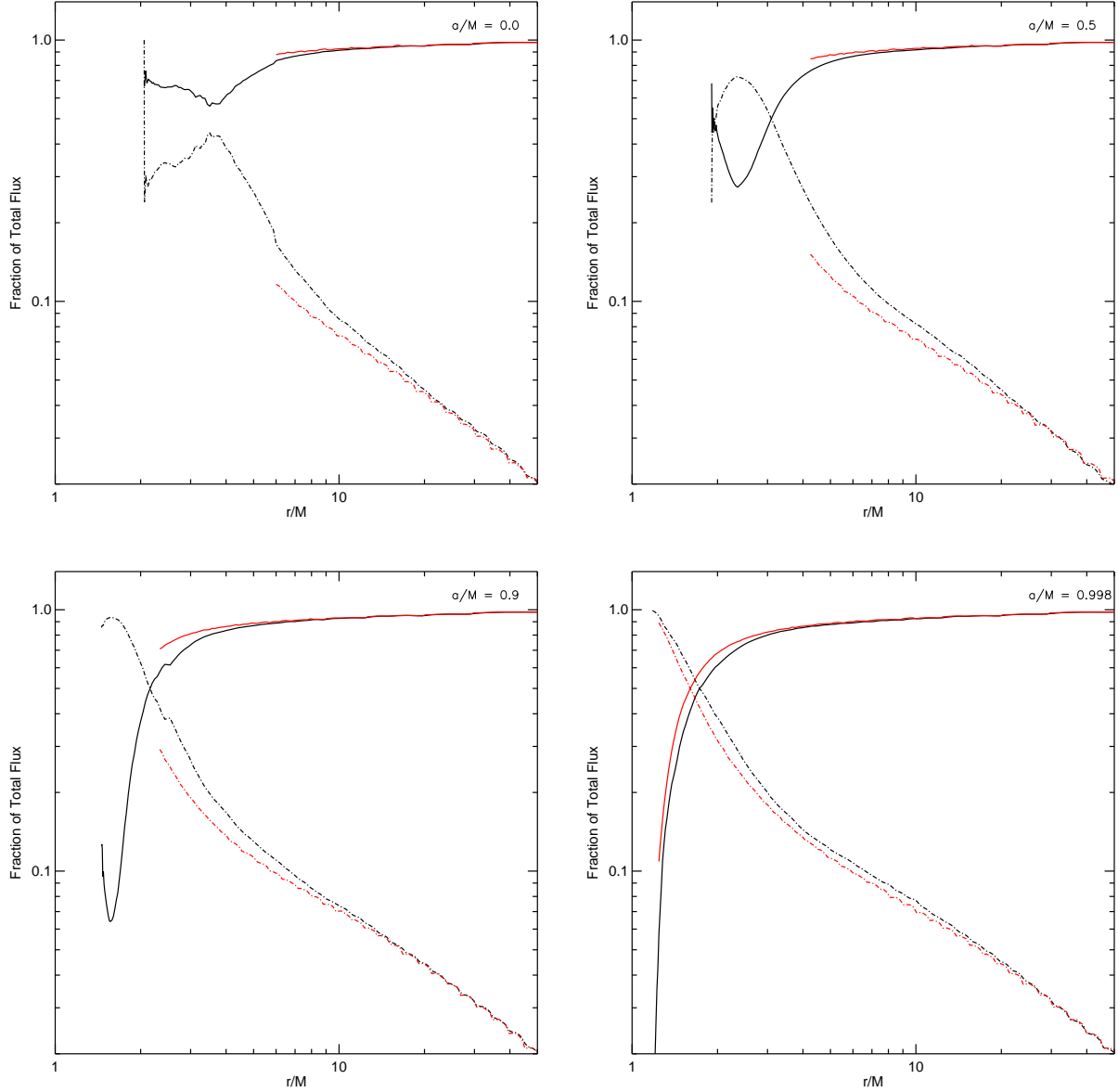


Fig. 5.— Fraction of the observed flux carried to infinity by photons that travel either directly or via multiple-crossing orbits. The panels show results for $a = 0$ (KD0), $a = 0.5$ (KDI), $a = 0.9$ (KDP) and $a = 0.998$ (KDE). Solid lines show the fraction of the observed flux carried by photons escaping to infinity on direct trajectories and dot-dash lines are photons escaping to infinity on multiple-crossing orbits. Each panel shows these functions for disk-like material within the KD simulations (black lines) and a geometrically thin, Keplerian accretion disk extending down to r_{ms} (red lines). Photons that cross the equatorial plane multiple times carry a significant fraction of the observed flux from the inner regions of the accretion flow. For the accretion flows associated with the two rapidly rotating holes, the flux carried by multiple-crossing photons becomes greater than that carried by directly-escaping photons as one approaches the horizon.

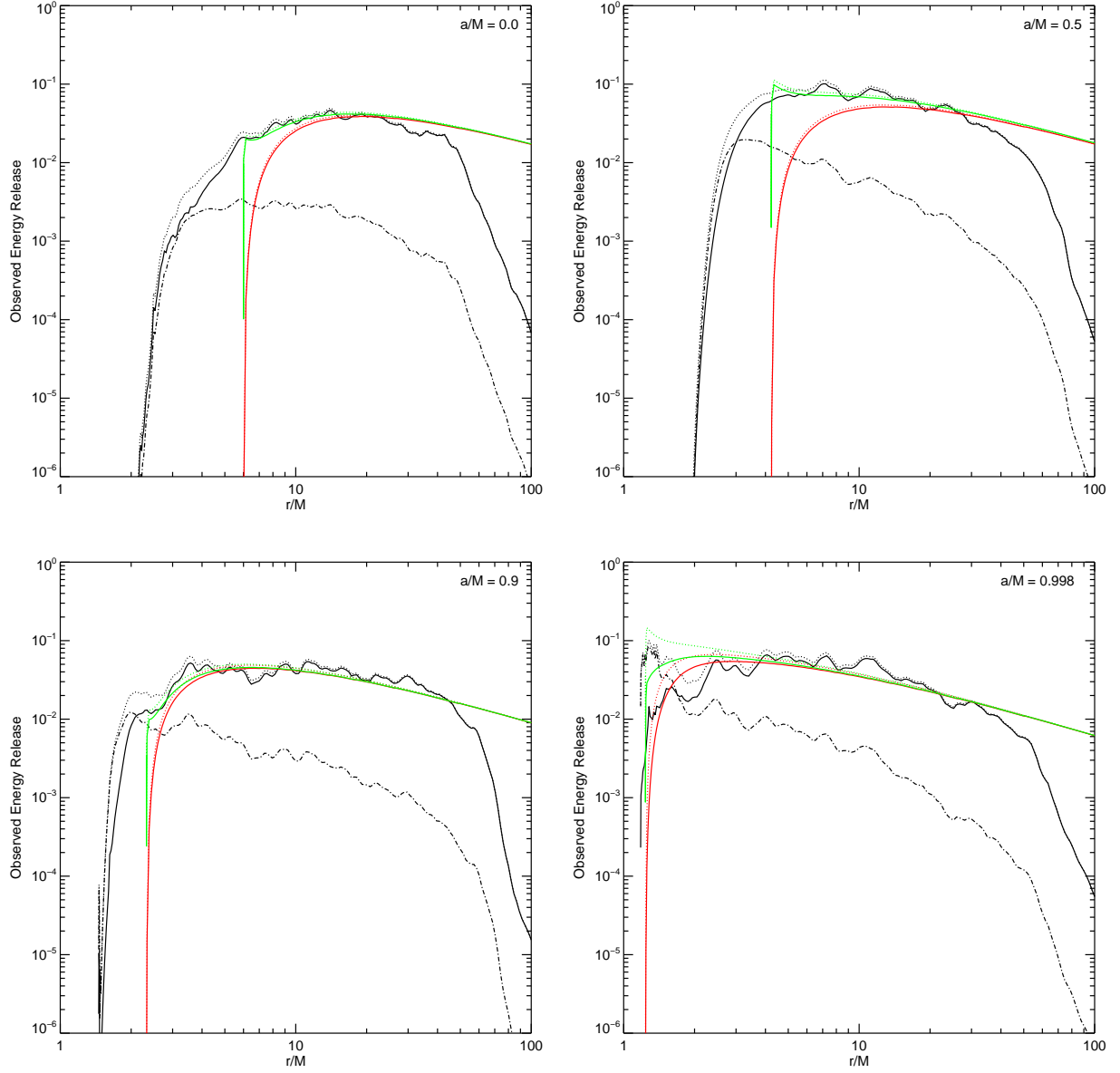


Fig. 6.— Radiation profiles $D_o(r)$ as measured in the reference frame of a distant observer. Clockwise from top left, the panels show results for $a = 0$ (KD0), $a = 0.5$ (KDI), $a = 0.9$ (KDP) and $a = 0.998$ (KDE). On each panel, we show the contribution from: (i) photons escaping to infinity on direct trajectories (solid lines); (ii) photons escaping to infinity on multiple-crossing orbits (dot-dash lines); (iii) the total observed dissipation profile (dotted lines). For comparison, we also show the dissipation profile associated with direct photons as predicted by the standard relativistic disk model (red lines).

flow.

The radiation profile measured by a distant observer, $D_o(r)$ is given by equation (12) and is shown in Figure 6. This figure combines the information on photon escape and capture (seen in Figures 4 and 5) with the dissipation model seen in Figure 1. The most noticeable feature of the profiles is the “extra” contribution due to dissipation in the region near and inside r_{ms} . The energy reaching infinity is quantified in Tables 4 and 5, which list the fractional contribution to the observed flux from the same regions defined for Table 1. Table 4 computes this quantity for the direct photons only, while Table 5 also includes the contributions from photons escaping after multiple plane-crossings. We remind the reader that some fraction of the multiple-crossing photons radiated by a real disk is likely to be absorbed *en route*, but the size of that fraction is highly model-dependent. As for the dissipation profile, we define the total energy observed at infinity that originates between radii r_1 and r_2 as

$$L_o(r_1, r_2) = \sum_{r_1}^{r_2} D_o(r). \quad (13)$$

For comparison we also compute the equivalent function for the standard disk model, L_o^{SM} , using Q and a set of transfer functions, T_{SM} , generated using the geometric and dynamical properties of the standard disk model.

Comparing Table 4 and Table 1 shows that the flux delivered to the observer from the plunging region is substantially smaller than that inferred from the dissipation, a diminution largely attributable to the effect of photon capture by the black hole (to zeroth order at least). Even for the most favorable case, the fractional contribution from the plunging region is reduced nearly threefold in the tally of direct photons. This picture changes quantitatively, but not qualitatively, when we include the contribution of the multiple-orbit photons (Table 5): the plunging region contributes more, but still only a small part of the total.

As with the intrinsic efficiencies presented in Table 2, the majority of the enhancement in the luminosity of the flow over the standard disk model still originates in the region just outside the marginally stable orbit. Tables 4 and 5 show that the enhancement in this region over the standard disk model is again maximized for the intermediate spin case, and that the level of enhancement is somewhat reduced in comparison to the intrinsic efficiencies.

That the increased efficiency is greatest for intermediate spin is the result of a trade-off between dissipation and capture, both of which increase with increasing black hole spin. At slow spin, there is relatively little additional dissipation, while at very high spin, so much of the light from the inner part of the accretion flow is captured that these regions contribute little to what can be seen at infinity. Put another way, at high spin, the portion of the disk

affected most strongly by elimination of the zero-stress boundary condition is so deep in the gravitational well of the black hole that it is only barely visible from the outside.

For both of the two low-spin cases, we can quote reasonably well-defined predictions for the simulations. Both are clearly in inflow equilibrium (Krolik et al. 2005) and, as Figure 6 shows, the radial fluctuation levels are low enough that radial integrations for these snapshots likely give a fair representation of the time-averaged condition. The increase in the effective radiation efficiency relative to the standard model is $\Delta\epsilon = \epsilon_{\parallel J||^2} - \epsilon_{SM} \simeq 0.012\text{--}0.014$ (a fractional increase of 22%–25%) for the non-rotating black hole, where the – denotes the range spanned between the direct photon only and total photon numbers. The increase is considerably greater for $a = 0.5$, $\Delta\epsilon \simeq 0.050\text{--}0.063$ (65%–80%). Only a small minority of this light comes from within the plunging region; most comes from the disk body at $r > r_{ms}$.

The situation changes in several respects when the black hole rotates more rapidly. As already remarked, substantially more of the energy released within the flow is captured by the black hole than in the slowly rotating case. Because multiple-crossing photons also play a bigger role, there is greater uncertainty in the efficiency due to our uncertainty in how much of the energy they carry reaches infinity. There are also two ways in which the numerical situation is different. As shown in Krolik et al. (2005), the KDE model is not in inflow equilibrium, so interpretation of this radial emission profile as a sample of the time-average is a bit dubious. In addition, even in KDP, which is in inflow equilibrium, the radial fluctuations are so large that the uncertainty in using these data alone as an estimate of the time-average must be quite sizable; factors of several might be expected. Nominally, we find that, when $a/M = 0.9$, the efficiency might be increased in absolute terms by 0.025–0.037 (18%–26% fractionally), and when $a/M = 0.998$, the increase could be 0.04–0.12 in absolute terms (16%–42%). We stress, however, that for all these reasons, the high-spin efficiency estimates are considerably more uncertain than the low-spin numbers.

Table 4. Observed Luminosities (Direct Photons Only)

Model	a/M	$\frac{L_o(r_{in}, r_{ms})}{L_o(r_{in}, \infty)}$	$\frac{L_o(r_{ms}, \infty)}{L_o(r_{in}, \infty)}$	$\frac{L_o(r_{in}, \infty)}{L_o^{SM}(r_{ms}, \infty)}$
KD0	0.0	0.05	0.95	1.22
KDI	0.5	0.09	0.91	1.66
KDP	0.9	0.02	0.98	1.18
KDE	0.998	<0.01	> 0.99	1.16

Table 5. Observed Luminosities (All Photons)

Model	a/M	$\frac{L_o(r_{in}, r_{ms})}{L_o(r_{in}, \infty)}$	$\frac{L_o(r_{ms}, \infty)}{L_o(r_{in}, \infty)}$	$\frac{L_o(r_{in}, \infty)}{L_o^{SM}(r_{ms}, \infty)}$
KD0	0.0	0.06	0.94	1.25
KDI	0.5	0.13	0.87	1.80
KDP	0.9	0.05	0.95	1.25
KDE	0.998	0.06	0.94	1.41

Table 6. Observed Radiative Efficiencies (Direct Photons)

Model	a/M	$\epsilon_{SM}(r_{ms}, \infty)$	$\epsilon_{SSM}(r_{ms}, \infty)$	$\epsilon_{ J ^2}(r_{in}, \infty)$
KD0	0.0	0.055	0.062	0.067
KDI	0.5	0.077	0.111	0.127
KDP	0.9	0.137	0.143	0.162
KDE	0.998	0.250	0.296	0.291

Table 7. Observed Radiative Efficiencies (All Photons)

Model	a/M	$\epsilon_{SM}(r_{ms}, \infty)$	$\epsilon_{SSM}(r_{ms}, \infty)$	$\epsilon_{ J ^2}(r_{in}, \infty)$
KD0	0.0	0.056	0.063	0.070
KDI	0.5	0.079	0.116	0.142
KDP	0.9	0.145	0.153	0.182
KDE	0.998	0.290	0.389	0.411

7. Summary and Discussion

Simulations of accretion flows in which the internal torques arise self-consistently from the underlying physics can, in principle, lead to detailed predictions for observations of black hole systems. A potentially observable effect that arises immediately in such simulations is the presence of magnetic stress both across the marginally stable orbit and into the plunging region of the accretion flow (Krolik et al. 2005). This behavior stands in marked contrast to the assumptions of the standard accretion disk model and raises the prospect of dissipation occurring within the plunging region. Where there is dissipation, there may well be radiation. The present simulations, while capturing the overall dynamics of the flows, do not model dissipation. To begin to evaluate the observational consequences we must, therefore adopt a prescription for dissipation. Motivated by the suggestions of Rosner et al. (1978), Machida & Matsumoto (2003), Hirose et al. (2004), and Hirose et al. (2006), along with a naive expectation from Ohm’s Law, we assume a simple relationship between dissipation within the accretion flow and the magnetic 4-current density, $||J||^2$. Comparing the radial profile of the shell-integrated $||J||^2$ with the dissipation function Q demanded by energy conservation within the standard model reveals a good match between these two quantities for $r_{ms} < r < 20M$; an even better match is made with the “stressed standard model” (Agol & Krolik 2000), an adjustment of the standard model that allows for non-zero stresses at $r = r_{ms}$.

Enhanced dissipation is one thing; how much of the energy radiated as a consequence of this dissipation reaches distant observers is another. To begin to answer this question we have adopted the simplest possible approximation to the radiation transfer problem: instantaneous conversion of heat to radiation and zero opacity everywhere. Photon trajectories can then be computed and a photon transfer function derived to determine which photons reach infinity, and with what Doppler shifts. In the end, we find that the luminosity produced per unit rest-mass accreted, that is the effective radiative efficiency, can be enhanced by anywhere from tens of percent to a factor of (nearly) two, with the maximum occurring at intermediate a/M . Although our numbers are much less well-defined for the two cases of rapid rotation we studied, we find that their effective radiative efficiency is enhanced (in proportionate terms) less than for black holes of intermediate spin because so much of the additional dissipation takes place very deep in the black hole’s gravitational potential: much of the energy released is captured by the black hole. At any spin, the majority of the additional light comes from the region a short way outside the marginally stable orbit.

Granted these results, the radiative efficiency of accreting black holes may be considerably greater than previously thought. If so, estimates of population-mean spin parameters based on inferred efficiencies (e.g., as for AGN by Elvis et al. 2002), may substantially overestimate the typical spin of accreting black holes.

Additional luminosity from the innermost part of the accretion flow should also alter predictions of spectra from accreting black holes. If the energy is thermalized, the thermal peak (at ~ 1 keV in Galactic black holes, ~ 10 eV in AGN) will be pushed to somewhat higher energies (Agol & Krolik 2000). On the other hand, it is also possible that some of the energy is released in places where the density and optical depth are too low to accomplish thermalization. Strengthening of the “coronal”, i.e., hard X-ray, emission would then be the likely consequence. Firming up these predictions, however, demands a more comprehensive approach to the gas’s thermodynamics and opacity than can be supported by the data of the simulations performed to date.

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8. Appendix A

The calculation of the photon transfer functions requires the introduction of a set of basis vectors describing the local rest frame of the fluid (the “fluid frame”). Such a tetrad set was presented by Krolik et al. (2005), who used a Gram-Schmidt orthonormalization procedure to construct it. Unfortunately, some of the expressions given in that work were incorrectly transcribed. The correct version is as follows:

$$e_{(t)}^\mu = U^t (1, v^r, v^t, v^\phi) \quad (14)$$

$$e_{(r)}^\mu = \frac{1}{N_2} (-g^{tt}k_1 - \ell g^{t\phi}k_1, g^{rr}, 0, -g^{t\phi}k_1 - g^{\phi\phi}\ell k_1) \quad (15)$$

$$e_{(\theta)}^\mu = \frac{1}{N_3} (U^\theta g^{tt} + U^\theta g^{t\phi}\ell, g^{rr}k_1k_2U^\theta, -g^{\theta\theta}k_3, U^\theta g^{t\phi} + U^\theta g^{\phi\phi}\ell) \quad (16)$$

$$e_{(\phi)}^\mu = \frac{1}{N_1} (-\ell, 0, 0, 1) \quad (17)$$

Here:

$$\ell = U_\phi / U_t \quad (18)$$

$$k_1 = U^r / (U^t + U^\phi \ell) \quad (19)$$

$$k_2 = (g^{tt} + 2g^{t\phi}\ell + g^{\phi\phi}\ell^2) / g^{rr} \quad (20)$$

$$k_3 = (U^t + k_1 k_2 U^r + \ell U^\phi)^{-1} \quad (21)$$

$$N_1 = \sqrt{g_{tt}\ell^2 - 2g_{t\phi}\ell + g_{\phi\phi}} \quad (22)$$

$$N_2 = \sqrt{g^{tt}k_1^2 + 2g^{t\phi}k_1^2\ell + g^{rr} + g^{\phi\phi}k_1^2\ell} \quad (23)$$

$$N_3 = \sqrt{(U^\theta k_3)^2 (g^{tt} + 2g^{t\phi}\ell + g^{rr}k_1^2k_2^2 + g^{\phi\phi}\ell^2) + g^{\theta\theta}} \quad (24)$$

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